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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicants: L. Katz, et al.

Serial No.: 09/735,056

Filed: December 11, 2000

For: NOVEL POLYKETIDE DERIVATIVES  
AND RECOMBINANT METHODS FOR  
MAKING SAME

Attorney Docket No.: 4952.US.C1

Date: April 30, 2001

Examiner: Unknown

Group Art Unit: Unknown

## Certificate of Mailing under 37 CFR §1.8(a):

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail addressed to as follows:

Assistant Commissioner for Patents  
Washington, D.C. 20231

Date of Deposit: April 30, 2001

  
Linda L. PowellTRANSMITTALAssistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

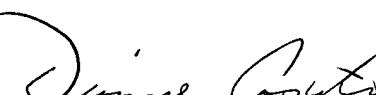
Enclosed herewith for the patent application identified above entitled Novel Polyketide Derivatives and Recombinant Methods For Making Same are the following:

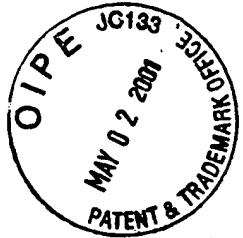
1. Response to Notice to File Corrected Application Papers (1 Page)
2. Copy of Notice to File Corrected Application Papers (1 Page)
3. Formal Drawings, Figures 1a through 43 (47 Pages)
4. Return Receipt Postcard

The Commissioner is hereby authorized to charge any additional Filing Fees required under 37 CFR § 1.16, as well as any patent application processing fees under 37 CFR § 1.17 associated with this communication for which full payment had not been tendered, to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
L. Katz, et al.

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PATENT

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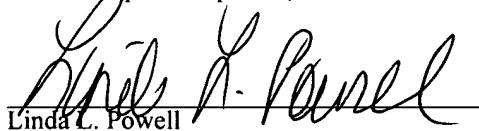
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Linda L. Powell

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Transmitted herewith are forty-seven (47) sheets (Figure 1a through Figure 43) of formal drawings as required in the Notice to File Corrected Application Papers dated April 18, 2001, a copy of which is enclosed. Please replace the originally filed informal drawings with the formal drawings submitted herewith. If any problems exist with the enclosed formal drawings, the undersigned would appreciate a telephone call from the Draftsman as soon as possible.

Respectfully submitted,  
L. Katz, et al.

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APR 24 2001

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/735,056	12/11/2000	Leonard Katz	4952.US.C1

## CONFIRMATION NO. 4609

## FORMALITIES LETTER



\*OC000000005981378\*

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Date Mailed: 04/18/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

***Filing Date Granted***

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE